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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/683,583
Filing Date	October 9, 2003
First Named Inventor	Larry Bock
Group Art Unit	1634
Examiner Name	Unassigned
Attorney Docket Number	40-001210US

ENCLOSURES (check all that apply)					
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group			
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information			
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below):			
Express Abandonment Request	Terminal Disclaimer	PTO-1449 form, cited references, and receipt acknowledgment postcard			
X Information Disclosure Statemer	Small Entity Statement  Request for Refund				
Certified Copy of Priority Document(s)	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with				
Response to Missing Parts/ Incomplete Application	this paper or during the pendency of this app for consideration of the documents enclosed	lication, including any extensions of time			
Response to Missing	Remarks				
Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group, P.C.					
Signature ASMH Al Quin					
Date March 10, 200	4				
CERTIFICATE OF MAILING					

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature	Juliana Ellin	Date	March 10, 2004

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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Juliana Hermes

Attorney Docket No. 40-001210US Client Ref. No. 01-001210US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Larry Bock, et al.

Application No.: 10/683,583

Filed: October 9, 2003

For: NANO-CHEM-FET BASED

**BIOSENSORS** 

Examiner: Unassigned

Art Unit: 1634

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Application No.: 10/683,583

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine, J.D., Ph.D.

Reg. No. 41,261

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Substitute for form 1449A-B/PTO	Complete if Known		
PE	Application Number	10/683,583	
INFORMATION DISCLOS	-Filing Date	October 9, 2003	
CTATEMENT DV ADDI INTANT	First Named Inventor	Larry Bock	
MAR 1 5 2004	Group Art Unit	1634	
		Unassigned	
(use as many sheets as necessary)	Attorney Docket Number	40-001210US	
TO THE STATE OF TH	Date Submitted	March 10, 2004	

			U.	S. PATENT DOCUMENTS		
		U.S. Patent Document		Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
Examiner Initials	Cite No.	Number	Kind Code (if known)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
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<del></del>		FOREIGN Foreign Patent Document				Date of Publication	Pages, Columns, Lines,	
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
	1	wo	02/48701		President & Fellows of Harvard College	06-20-2002		
•	2	wo	03/085700		Nanosys, Inc.	10-16-2003		
-	3	wo	03/085701		Nanosys, Inc.	10-16-2003		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
	4	Dixon et al., (1999) "Anthrax" NEJM 341:815.	
	5	Owicki and Parce (1992) "Biosensors bases on the energy metabolism of living cells: The physical chemistry and cell biology of extracellular acidification" <i>Biosensors and Bioelectronics</i> 7:255-272.	
	6	Parce et al., (1989) "Detection of Cell-Affecting Agents with a Silicon Biosensor" Science 246:244.	
<u> </u>			

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.